ANONYMOUS AUTHOR(S)

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In this paper, we develop and study the following perspective – just as higher-order functions give exponentials, higher-order continuations give coexponentials. From this, we design a language that combines exponentials and coexponentials, producing a duality of abstraction.

We formalise this language by giving an extension of a call-by-value simply-typed lambda-calculus with coexponentials. We develop the semantics of this language using the axiomatic structure of continuations, which we use to produce an equational theory, that justifies control effects. We use this to derive the classical control operators and computational interpretation of classical logic, and encode common patterns of control flow using continuations, such as backtracking and exceptions. We further develop duals of first-order arrow languages using coexponentials. Finally, we discuss the implementation of this duality as control operators in programming, and develop their applications.

Additional Key Words and Phrases: duality, continuations, categorical semantics, type theory, effects

INTRODUCTION 1

There are several well-known dualities of computation: (1) values and continuations [Filinski 1989; Parigot 1992], (2) call-by-value and call-by-name [Selinger 2001; Wadler 2003], (3) expressions and contexts [Curien and Herbelin 2000], (4) producers and consumers [Girard 1991], (5) client and server in session types [Honda 1993], (6) strict and lazy evaluation, (7) products and sums, (8) effects (monads) and coeffects (comonads).

This paper presents and develops a different perspective: a duality of abstraction – of currying and cocurrying. Abstraction is at the heart of functional programming – it gives us higher-order functions that we build by lambda-abstraction, and we apply them to arguments using function application. This is well understood using the currying/uncurrying isomorphism:

$$(C \times A) \to B \cong C \to (A \Longrightarrow B)$$
 (1)

The forwards direction is currying, which gives lambda abstraction. In an environment C with a free variable of type A, if we can produce a value of type B, we can lambda-abstract and get a function $A \Rightarrow B$ in the environment C. The function type $A \Rightarrow B$ is an exponential object, which 30 comes with a (universal) evaluation function $eval_{AB}$: $(A \Rightarrow B) \times A \rightarrow B$ by uncurrying, allowing us to apply a function to an argument.

Duality is a fashionable trend in programming languages – can we dualise currying? Formally, 33 this is a matter of reversing the arrows, turning the products into sums (coproducts), and turning 34 the function type \Rightarrow into a \leftarrow type: 35

$$(A \leftarrow B) \to C \cong B \to (C + A) \tag{2}$$

Continuing the analogy with currying, the dual type $A \leftarrow B$ (or coexponential object) should come 38 with a (universal) coevaluation function coeval_{A,B}: $B \to A + (A \Leftarrow B)$. Programming languages 39 have both products and sums, could we also have both \Rightarrow and \Leftarrow ? 40

41 **Loch Ness mystery.** For good reasons, this mysterious $A \leftarrow B$ type is not found in programming 42 languages. The categorically minded reader will recognise these two natural isomorphisms as 43 coming from the adjunctions of cartesian closure, and cocartesian coclosure: 44

$$(-) \times A + (-)^A \qquad {}^{(-)}A + (-) + A$$
 (3)

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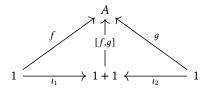
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Exponential objects $(-)^A$ give right ajoints to product functors $(-) \times A$, and coexponential objects $(-)^A$ give left adjoints to coproduct functors A + (-). If C is a cartesian closed category (a model for the simply-typed lambda-calculus), then formally C^{op} becomes a cocartesian coclosed category. But, combining cartesian closure and cocartesian coclosure in the same category leads to a degeneracy – this is well-known as Joyal's lemma, and is explained in various forms by several authors (Lambek and Scott 1988, p.67; Girard 2011, § 7.A.4; Crolard 2001, thm 1.14; Abramsky 2012; Eades III and Bellin 2017).

If $(-) \times A$ has a right adjoint, it ought to preserve the initial object, and if A + (-) has a left adjoint, it ought to preserve the terminal object, giving these isomorphisms:

$$0 \times A \cong 0 \qquad A+1 \cong 1 \tag{4}$$

In logic, the first isomorphism is the tautology $\perp \land A \leftrightarrow \perp$, and the second isomorphism is $\top \lor A \leftrightarrow \top$, which is well-known in classical logic. However, by Curry-Howard, in a programming language this means that the booleans would have no computational content – Bool $\cong 1 + 1 \cong 1$, leading to a degenerate language.



Since $1 + 1 \cong 1$, it is a terminal object, making $\iota_1, \iota_2 : 1 \to 1 + 1$ equal. If $f, g : 1 \to A$ are any two closed programs, then $f = [f, g] \circ \iota_1 = [f, g] \circ \iota_2 = g$. This makes the language degenerate – all closed programs of the same type are equal! This remark of Girard from *The Blind Spot* [2011, § 7.A.5, page 155] is worth quoting:

Digression: Loch Ness categories. A certain number of "solutions" to the degeneracy (inconsistency at layer -2) circulate. All those I have seen being faulty, I will not indulge in a teratology, especially since some people devote an incredible amount of energy in the production of new erroneous solutions. A few remarks:

 If there is a category-theoretic solution, one is liable to provide a legible category. And not to formulate the adjunction rules – say – of a professed «subtraction» – the typical connective of the category-theoretic *bricoleurs* – supposedly acting like implication, but on the left. Hence, one must provide a *concrete* category, or at least a translation into a system already having a non-degenerate category-theoretic interpretation. What the experts in «subtraction» carefully avoid doing... with good reasons.

This degeneracy is often used to motivate linear logic, weakening the strict universal properties of limits and colimits, or that "we must separate the two worlds" [Eades III and Bellin 2017], leading to mixed linear-non-linear logics. These arguments are also important to the foundations of quantum theory [Abramsky 2012], which require no cloning and duplication, fitting nicely with linear logic. In this work, we refute this conventional wisdom – we *do not* embrace linear logic, yet produce a programming language with a computational interpretation, that has both currying and cocurrying!

Continuations and Classical Logic. Continuations are fundamental to many of the dualities of computation – they are dual to values (as in Parigot [1992]'s $\lambda \mu$), and they are fundamental to the duality of call-by-value and call-by-name (Selinger 2001; Wadler 2003), and the duality of programs and contexts (Curien and Herbelin [2000]'s $\mu \tilde{\mu}$). Continuations give a computational interpretation of

classical logic, as discovered by Griffin [1989]. The classical nature of the isomorphism in equation (4)
 suggests that we should think about them using continuations. The duality in this paper also exploits
 continuations!

The ambitious reader might want to stop at this point, and try to implement the \Leftarrow type and the isomorphism in equation (2), using their favorite control operators. This is the main insight on which this paper builds. Semantically-minded readers might want to skip ahead to § 4 to see what the trick is about.

Outline and Contributions. This work is inspired by Filinski [1989]'s symmetric λ -calculus, and various dual calculi for values and continuations [Parigot 1992; Curien and Herbelin 2000]. The semantics is inspired by the works of Hofmann [1995], Thielecke [1997], Streicher and Reus [1998], and Hofmann and Streicher [2002], and in particular Selinger [2001]. Compared to other dual calculi, we only restrict ourselves to a call-by-value language. The duality is a semantic one – of cartesian closure and cocartesian coclosure – which produces a syntactic duality of λ and $\tilde{\lambda}$!

- We present a $\lambda \tilde{\lambda}$ calculus, which exhibits two dual abstraction mechanisms: λ and $\tilde{\lambda}$. They bind values and covalues, respectively, and we call them functions and cofunctions, respectively, which exhibit currying and cocurrying. Functions have a function type, and cofunctions have a sum(!) type – the interaction of usual sums and cofunctions allows values and covalues to interact. We introduce this language by examples in § 2, and give a formal presentation in § 3.
 - We develop the semantics of λλ in two different ways, in § 4. First, we use continuations for covalues, and give a CPS semantics, essentially by pulling it out of a hat. Second, we perform a micrological study of continuations, understanding their axiomatic categorical structure, and how it produces exponentials and coexponentials. We interpret our language using this categorical semantics in § 5, and show that it matches the CPS semantics.
 - Using our denotational semantics, we develop an equational theory for our language, in § 6. The equational theory is designed in stages, first giving the axiomatic equations for currying and cocurrying, and then adding equations for control effects, which are validated by our semantics. We discuss the soundness, completeness, and axiomatics of these equations.
 - Just as λ calculi can be split into first-order fragments, we split λ̃ into first-order arrow calculi, by dualizing functional completeness, in § 7. These languages are understood operationally using continuations as handlers.
- Unlike other dual calculi for continuations, ours is a natural deduction calculus. This means that the $\tilde{\lambda}$ duality readily adapts to a control operators, which we can implement or retrofit in real-world programming languages. In § 8, we implement them in SML and Haskell, and discuss our applications.

We include a partial formalisation of our languages in Agda, and implementations in SML and Haskell, as supplementary material. Some details are skipped in the main text, and included in the supplementary appendix.

2 DUALITY BY EXAMPLE

We illustrate the duality of abstraction by programming in a hypothetical language, whose syntax is similar to a typed programming language (like ML or Haskell).

Functions and Cofunctions. The language has values and covalues, and functions and cofunctions.
 Functions fn bind values, and cofunctions cofn bind covalues. Covalues have co types, and cofn
 binds a covalue, producing a cofunction which has (surprisingly!) a sum type, written as a + b.
 Coapplication is written as f @ k, which supplies a covalue to a sum type.

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148fun ex1 (f : int \rightarrow string) (g : int + string) : int \rightarrow int + string =149fn (x : int) \Rightarrow150cofn (k : co int) \Rightarrow151if x = 0 then g @ k else f x152The program ex1 take two arguments: a function f : int \rightarrow string, and a sum (or cofunction)
```

g : int + string, and returns something of type $int \rightarrow int + string$. The body of the program first introduces a lambda using fn (x : int), that binds a value x : int creating a function whose domain is an int. The body of the program needs to produce something of type int + string. This is introduced by a colambda cofn (k : co int) which binds a covalue k : co int, creating a cofunction. The value x is used in the body by applying the function f, and the covalue k is used in the body by applying the sum g. Here are two sample executions of the program ex1:

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```
ex1 Int.toString (INR "0") 0
     cofn (k : co int) \Rightarrow if 0 = 0 then (INR "0") @ k else Int.toString 0
\sim \rightarrow
                                                                                                              (5)
     cofn (k : co int) \Rightarrow (INR "0") @ k
\sim \rightarrow
     INR "0"
\sim \rightarrow
      ex1 Int.toString (INL 1) 1
\rightarrow cofn (k : co int) \Rightarrow if 1 = 0 then (INL 1) @ k else Int.toString 1
     cofn (k : co int) \Rightarrow Int.toString 1
                                                                                                               (6)
\sim \rightarrow
    cofn (k : co int) \Rightarrow "1"
\sim \rightarrow
      INR "1"
 \rightarrow
```

The standard rules for capture-avoiding substitutions apply to both functions and cofunctions, which we perform implicitly. In equation (5), the body of the inner cofunction reduces by following the left branch of the conditional, which produces the cofunction $cofn (k : co int) \Rightarrow (INR "0") @ k$. This reduces by eta-conversion to the value INR "0". Dually, in equation (6), the body of the inner cofunction reduces by following the right branch of the conditional, which produces the cofunction $cofn (k : co int) \Rightarrow Int.toString 1$. The body of this cofunction doesn't use the covalue k, causing it to collapse(!), producing INR "1".

This example could've been written without any of this technology, just using standard sums:

```
fun ex1 (f : int \rightarrow string) (g : int + string) : int \rightarrow int + string =
fn (x : int) \Rightarrow
if x = 0 then g else INR (f x)
```

What is then the point of cofunctions? We will see that, INL/INR produce ordinary sums, but cofunctions produce "Faustian" sums, which have control effects!

Exceptional cofunctions. Consider a simple program which multiplies the elements in a list
 (from [Harper et al. 1993]):

Anon.

If 1 contains a 0 anywhere in the list, the program will always return 0, but performing severalvacuous multiplications along the way.

```
      199
      mult [1, 2, 0, 3, 4]
      \rightsquigarrow
      1 * (2 * (0 * (3 * (4 * 1))))

      201
      \rightsquigarrow
      1 * (2 * (0 * (3 * (4 * 1))))

      202
      \rightsquigarrow
      1 * (2 * (0 * (3 * 4)))

      203
      \rightsquigarrow
      1 * (2 * 0)

      204
      \rightsquigarrow
      1 * 0

      205
      \rightsquigarrow
      0
```

A naive way to avoid vacuous multiplications is to stop computing as soon as we see a 0:

```
      208
      fun mult (1 : list int) : int =

      209
      let fun loop [] = 1

      210
      | loop (0 :: _) = 0

      211
      | loop (h :: t) = h * loop t

      212
      in loop 1

      213
      end
```

which proceeds as:

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mult [1, 2, 0, 3, 4] $\rightarrow 1 * (2 * 0)$ $\rightarrow 1 * 0$ $\rightarrow 0$

This avoids traversing the list once it notices a 0, but still vacuously multiplies by 0 as it finishes the rest of the computation. Ideally, we want to avoid multiplying once we see a 0, and treat it as an exceptional value.

As type theorists, we know about sum types, and we can use them to model two branches of computation – a value on the right is a normal value, and a value on the left is an exceptional value. We do multiplications on the right, but we return a \emptyset on the left. To understand the behavior of the program, we additionally print a trace as we're computing.

```
227
          fun mult (1 : list int) : int + int =
228
               let fun loop [] = INR 1
229
                       | loop (0 :: _) = INL 0
230
                       loop (h :: t) = trace ("at " ^ Int.toString h)
231
                                                 (mapRight (fn x \Rightarrow h * x) (loop t))
232
               in loop 1
233
               end
234
235
       This computes as:
236
                 mult [1, 2, 0, 3, 4]
237
                 mapRight (fn x \Rightarrow 1 * x) (mapRight (fn x \Rightarrow 2 * x) (loop [0, 3, 4]))
            \sim \rightarrow
238
                 mapRight (fn x \Rightarrow 1 * x) (mapRight (fn x \Rightarrow 2 * x) (INL 0))
            \sim \rightarrow
239
                 mapRight (fn x \Rightarrow 1 * x) (INL 0)
           \sim \rightarrow
240
                 INL Ø
             \rightarrow 
241
       printing the trace: "at 2", then "at 1".
242
```

This encoding using sums is almost the behavior we want, which avoids vacuous multiplications, but still traverses up to the top of the list once it hits a 0, printing the trace. What we really want is

to short-circuit the computation, abandoning the computation in the right branch, and jumping tothe left branch with a 0. We can do this using cofunctions.

cofn binds a covalue k : **int co**, and speculatively executes its body, assuming that its computing the right branch of the sum. The bound covalue k allows one to backtrack and "jump with an (**int**) argument" to the left branch. The loop function is the same as before, except when it hits a 0, it coapplies INL 0 to the bound covalue k, jumping to the left branch and exiting the program. This computes as:

```
\begin{array}{rll} \mbox{mult } [1, 2, 0, 3, 4] \\ & \rightsquigarrow & \mbox{cofn} \ (k : \mbox{int co}) \Rightarrow \mbox{loop} \ [1, 2, 0, 3, 4] \\ & \rightsquigarrow & \mbox{cofn} \ (k : \mbox{int co}) \Rightarrow \mbox{l} * \mbox{loop} \ [2, 0, 3, 4] \\ & \rightsquigarrow & \mbox{cofn} \ (k : \mbox{int co}) \Rightarrow \mbox{l} * \ (2 * \mbox{loop} \ [0, 3, 4]) \\ & \rightsquigarrow & \mbox{cofn} \ (k : \mbox{int co}) \Rightarrow \mbox{l} * \ (2 * \ (INL \ 0) \ @ \ k) \\ & \rightsquigarrow & \mbox{INL } \ 0 \end{array}
```

and prints no trace!

Algebra of cofunctions. Constant functions, like fn (x : int) $\Rightarrow 0$, when applied to any argument, will always return the value 0. Similarly, we have constant cofunctions, like cofn (k : co int) $\Rightarrow 0$, which are right-biased sums – but unlike functions (lambdas), they aren't frozen thunks – for example, constant cofunctions collapse and reduce to an ordinary right sum.

The identity cofunction, which returns the covalue it binds, produces something of this type – a choice between a value and a covalue:

let val (idc : a + co a) = cofn (k : co a) \Rightarrow k

This is a cofunction that doesn't reduce on its own, not until you observe it by pattern matching! The «subtraction» type a – b is the "proper" dual of the function type a \rightarrow b, and is defined as a product of a value and a covalue:

type a - b = a * co b

The subtraction type is derived from covalues and is not included as a primitive type in our language, for «good reasons». The duality is witnessed by currying and cocurrying – our motivating example,

```
which can be implemented using our cofunction operations (also see ftoc and ctof):
295
296
297
                                                      fun cocurry (f : c \rightarrow a + b) : (c - a) \rightarrow b =
        fun curry (f : (c \star a) \rightarrow b) =
298
             fn x \Rightarrow fn y \Rightarrow f (x, y)
                                                           fn (c, k) \Rightarrow (f c) @ k
299
        fun uncurry (f : c \rightarrow (a \rightarrow b)) =
                                                      fun councurry (f : (c - a) \rightarrow b) : c \rightarrow a + b =
300
                                                           fn c \Rightarrow cofn k \Rightarrow f (c, k)
             fn (x, y) \Rightarrow f x y
301
302
303
       Subtraction and sums interplay in the following way:
304
305
         fun coeval (x : a) : b + (a - b) = cofn (k : co b) \Rightarrow (x, k)
306
         fun couneval (f : (b + a) - b) : a = (\#1 f) @ (\#2 f)
307
       The type of coeval can be seen as a generalised version of LEM. Since cofunctions are just sums,
308
       they have the familiar case construct for pattern matching. Using case, we can do operations on
309
       both sides of the sum, for example, we can define a cocomposition of subtractive types:
310
311
          fun cocompose (f : a - c) : (b - c) + (a - b) =
312
              case coeval (#1 f) of
313
                   INL b \Rightarrow INL (b, #2 f)
314
                 | INR (a, k) \Rightarrow INR (a, k)
315
316
       Value-Covalue interaction. We could produce a choice between a value and a covalue out of
317
       nothing, on both sides of the sum - but what if we had access to a value and covalue at the same
318
       time, i.e., a - a? We can throw – lifting the value to the left, then coapplying the covalue, producing
319
       b out of nothing.
320
321
         fun throw (p : a - a) : b = (INL (#1 p)) @ k
322
323
       Dually(!), the sum type a + a can be collapsed to an a:
324
325
         fun codiag (s : a + a) : a = case s of INL a \Rightarrow a | INR a \Rightarrow a
326
       To a continuations afficionado, these operators will look familiar:
327
328
                                                      fun call/cc (f : (a \rightarrow b) \rightarrow a) : a =
329
        fun callcc (f : co a \rightarrow a) : a =
330
                                                           codiag (cofn (k : co a) \Rightarrow
             codiag (cofn (k : co a) \Rightarrow f k)
331
                                                              f(\mathbf{fn} a \Rightarrow \text{throw} (a, k)))
332
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       3 SYNTAX
335
       We present a formal calculus called \lambda \lambda which exhibits abstraction and coabstraction.
336
337
       3.1 Typing
338
       The syntax and typing of \lambda \tilde{\lambda} is presented in figure 1. It is a simply-typed lambda calculus with
339
       products, functions, and sums, extended with covalue types, coabstraction, and coapplication.
340
         In figure 1a, we have the usual type constructors for unit, products, coproducts, and function
341
       types. Additionally, we have a dual type constructor \tilde{A}, which is the type of covalues. Expressions
342
343
                                                            7
```

in our language are the usual ones, but additionally we have colambdas and coapplications, which 344 are indicated by a bar over the lambda and application symbols. Lambda and colambda are binding 345 forms – lambdas can bind variables of any type, but colambdas only bind variables of dual types. 346 Similar to application, coapplication coapplies the second argument to the first. Importantly, this 347 is a *call-by-value* language – values are a subset of expressions, and substitution is restricted to 348 values. 349

Raw terms are meaningless, and the meaningful terms are the well-typed ones deduced by 350 351 the typing judgement, generated by the typing rules in figure 1c. Unit and products have the usual *rightist* typing rules. Sums have the usual *leftist* typing rules, with two injections, and a 352 case construct. As is standard, functions are rightist - they are introduced by lambda abstraction, 353 binding a value x : A in the body e : B, producing a term of type $A \Rightarrow B$. Application eliminates a 354 function, which applies a function $A \Rightarrow B$ to an A, producing a B. 355

Now we add two more rules which look completely symmetric - colambda binds a covalue 356 x : A in the body e : B, producing a term of type A + B, which we've been calling cofunctions. To 357 eliminate a cofunction, we have coapplication, which applies a cofunction A + B to a term of type 358 *A*, cancelling out the *A* and producing a *B*. This is a *rightist* rule for sums. Sums have *bipartisan* 359 status – the interaction of leftist case and rightist $\tilde{\lambda}$ leads to control effects! 360

361 The slogan for the typing rule for functions is "binding a value produces a function". Dually, the slogan for the typing rule for cofunctions is "binding a covalue produces a choice". The typing rule 362 for application says "a function consumes a value", and the typing rule for coapplication says "a 363 364 cofunction consumes a covalue". When a function binds a value A, it can use the bound variable 365 x : A in its body in any way that satisfies typing constraints, and similarly, when a cofunction 366 binds a covalue \tilde{A} , it can use the bound variable $x : \tilde{A}$ in its body in any way that satisfies typing 367 constraints.

368 We can think of colambdas as producing a right-biased choice, bargaining for a covalue for the 369 left side of the choice. The informal idea behind a covalue is that it opens up a "channel" for the 370 left side of the sum, which can be used by the body of the cofunction to "escape" to the left, despite 371 having made a preference for the right side of the sum. This "escape" mechanism is a way for values 372 and covalues to interact, or using our analogy, a way to send a value on the channel the covalue 373 opened up. This is explained by the computational behavior of coapplication. 374

Weakening and Substitution 3.2

376 Since we have introduced new binders in our language, we need to show that weakening and 377 substitution are still admissible. Unlike mixed substitution in CPS calculi, we only need call-by-378 value substitution. We describe the weakening and substitution rules for $\lambda \tilde{\lambda}$ in figure 2, and define 379 substitution on raw terms in definition 3.3 and definition 3.2. This is standard, and when substituting 380 under a binder, we do a renaming of the bound variable by extending the substitution. Finally, we prove admissibility of weakening and substitution in theorem 3.1. 382

THEOREM 3.1 (WEAKENING AND SUBSTITUTION).

• If $\Gamma \supseteq \Delta$ and $\Delta \vdash e : A$, then $\Gamma \vdash e : A$.

• If $\Gamma \vdash \theta : \Delta$ and $\Delta \vdash e : A$, then $\Gamma \vdash \theta(e) : A$.

Definition 3.2 (Substitution on variables).

$$\theta[x] \triangleq \begin{cases} \notin & \theta = \langle \rangle \\ e & \theta = \langle \phi, e/x \rangle \\ \phi[x] & \theta = \langle \phi, e/y \rangle, x \neq y \end{cases}$$

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 393 394 395 396 397 398 399 400 401 402 403 404 	$\begin{array}{llllllllllllllllllllllllllllllllllll$
405	$\Gamma \vdash e_1 \approx e_2 : A e_1 \text{ and } e_2 \text{ are equal expressions of type } A \text{ in context } \Gamma$
406	(b) Judgements for $\lambda \tilde{\lambda}$
407 408	
409	$\frac{\Gamma \vdash e_1 : A \qquad \Gamma \vdash e_2 : B}{\Gamma \vdash (e_1, e_2) : A \times B} \times I \qquad \frac{\Gamma \vdash e : A \times B}{\Gamma \vdash fst(e) : A} \times E_1 \qquad \frac{\Gamma \vdash e : A \times B}{\Gamma \vdash snd(e) : B} \times E_2$
410	$1 \vdash \mathbf{x} \cdot 1$ $1 \vdash (e_1, e_2) \cdot A \times D$ $1 \vdash \operatorname{ISL}(e) \cdot A$ $1 \vdash \operatorname{ISL}(e) \cdot D$
411	$\Gamma \vdash e : A$ $\Gamma \vdash e : B$
412	$\frac{\Gamma \vdash e : A}{\Gamma \vdash inl(e) : A + B} + I_1 \qquad \qquad \frac{\Gamma \vdash e : B}{\Gamma \vdash inr(e) : A + B} + I_2$
413	$1 + \min(c) \cdot M + D$ $1 + \min(c) \cdot M + D$
414	$\Gamma \vdash e_1 : A + B \qquad \Gamma, x : e_2 \vdash A : C \qquad \Gamma, y : e_3 \vdash B : C \qquad x : A \in \Gamma$
415 416	$\frac{\Gamma \vdash e_1 : A + B \qquad \Gamma, x : e_2 \vdash A : C \qquad \Gamma, y : e_3 \vdash B : C}{\Gamma \vdash case(e_1, x, e_2, y, e_3) : C} + E \qquad \qquad \frac{x : A \in \Gamma}{\Gamma \vdash x : A} \text{ Var}$
417	$1 + case(v_1, x, v_2, y, v_3) \cdot c$ $1 + x \cdot 21$
418	$\Gamma, x : A \vdash e : B \qquad \qquad \Gamma \vdash e_1 : A \Longrightarrow B \qquad \Gamma \vdash e_2 : A$
419	$\frac{\Gamma, x : A \vdash e : B}{\Gamma \vdash \lambda(x : A). e : A \Longrightarrow B} \Longrightarrow I \qquad \qquad \frac{\Gamma \vdash e_1 : A \Longrightarrow B \qquad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 : e_2 : B} \Longrightarrow E$
420	$1 + n(x \cdot H) \cdot c \cdot H \rightarrow D \qquad \qquad 1 + c_1 \cdot c_2 \cdot D$
421	$\Gamma, x: \tilde{A} \vdash e: B$ $\Gamma \vdash e_1: A + B$ $\Gamma \vdash e_2: \tilde{A}$
422	$\frac{\Gamma, x: \tilde{A} \vdash e: B}{\Gamma \vdash \tilde{\lambda}(x: \tilde{A}). \ e: A + B} \cong I \qquad \qquad \frac{\Gamma \vdash e_1: A + B \qquad \Gamma \vdash e_2: \tilde{A}}{\Gamma \vdash \tilde{e_1}: E} \cong E$
423	$1 \vdash \lambda(x : A). \ e : A + B$ $1 \vdash e_1 \ e_2 : B$
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425 426	(c) Typing rules for $\lambda\tilde{\lambda}$
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428	Fig. 1. Syntax and typing for $\lambda ilde{\lambda}$
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442 $\frac{x:A\in\Gamma\quad(x\neq y)}{x:A\in(\Gamma,y:B)}\in-\mathbf{E}\mathbf{X}$ $\overline{x:A\in(\Gamma,x:A)} \in -\mathrm{ID}$ 443 444 445 (a) Context Membership Rules 446 $\frac{\Gamma \supseteq \Delta}{\Gamma, x : A \supset \Lambda, x \cdot A} \supseteq \text{-cong}$ $\frac{\Gamma \supseteq \Delta}{\Gamma, x : A \supset \Lambda} \supseteq \operatorname{-WK}$ 447 $\frac{1}{\cdot \supseteq \cdot} \supseteq^{-\mathrm{ID}}$ 448 449 (b) Weakening Rules 450 451 $\frac{\Gamma \vdash \theta : \Delta \qquad \Gamma \vdash v : A}{\Gamma \vdash \langle \theta, v/x \rangle : \Delta x : A}$ SUB-VAL 452 $\frac{1}{\Gamma \vdash \langle \rangle : \cdot}$ SUB-ID 453 454 (c) Substitution Rules 455 456 Fig. 2. Membership, Weakening and Substitution Rules 457 458 Definition 3.3 (Substitution on raw terms). 459 460 $\theta(\star) \triangleq \star$ 461 $\theta((e_1, e_2)) \triangleq (\theta(e_1), \theta(e_2))$ 462 463 $\theta(\mathsf{fst}(e)) \triangleq \mathsf{fst}(\theta(e))$ 464 $\theta(\operatorname{snd}(e)) \triangleq \operatorname{snd}(\theta(e))$ 465 $\theta(x) \triangleq \theta[x]$ 466 467 $\theta(\lambda x, e) \triangleq \lambda y, \langle \theta, y/x \rangle(e)$ $\theta(e_1 e_2) \triangleq \theta(e_1) \theta(e_2)$ 469 $\theta(\operatorname{inl}(e)) \triangleq \operatorname{inl}(\theta(e))$ 470 471 $\theta(\inf(e)) \triangleq \inf(\theta(e))$ 472 $\theta(\operatorname{case}(e, x, e_1, y, e_2)) \triangleq \operatorname{case}(\theta(e), z, \langle \theta, z/x \rangle(e_1), z, \langle \theta, z/y \rangle(e_2))$ 473 $\theta(\widetilde{\lambda}x.e) \triangleq \widetilde{\lambda}y. \langle \theta, y/x \rangle(e)$ 474 475 $\theta(\widetilde{e_1}, \widetilde{e_2}) \triangleq \theta(\widetilde{e_1}) \theta(\widetilde{e_2})$ 476

4 SEMANTICS

4.1 Continuation semantics

Those familiar with continuations will recognize that these covalues look like continuations! Indeed, we can interpret this language using continuation semantics, by giving a CPS translation, shown in figure 3, which is familiar in the continuations literature [Streicher and Reus 1998]. It is given by a family of semantic functions indexed by types and contexts: $(|=|)_A^{\gamma} : \lambda \tilde{\lambda}_A \to (A \to R) \to R$.

The base language is assumed to have functions, products, and sums, and the usual constructs follow the standard call-by-value semantics, fixing a right-to-left evaluation order. On types, the \tilde{A} type is translated as $A \to R$, and the function type $A \Rightarrow B$ as $A \to (B \to R) \to R$, as is standard.

⁴⁸⁷ The continuation of a lambda $\lambda x. e: A \Rightarrow B$ is $k: A \rightarrow (B \rightarrow R) \rightarrow R$, which we apply to ⁴⁸⁸ a function that binds a value a: A, a continuation $k_B: B \rightarrow R$, and evaluates the body e in the ⁴⁸⁹ extended context γ , a, using the continuation k_B . When translating an application $e_1 e_2: B$, we grab

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Anon.

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491	$(\star)_1^Y \triangleq \lambda k. k \star$
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493	$((e_1, e_2))_{A \times B}^{\gamma} \triangleq \lambda k. \ (e_2)_B^{\gamma}(\lambda b. \ (e_1)_A^{\gamma}(\lambda a. k \ (a, b)))$
494	$\ \operatorname{fst}(e)\ _A^{\gamma} \triangleq \lambda k. \ e\ _{A \times B}^{\gamma}(\lambda p. k \operatorname{fst}(p))$
495	$\ \operatorname{snd}(e)\ _{P}^{\gamma} \triangleq \lambda k. \ e\ _{\lambda \geq P}^{\gamma}(\lambda p. k \operatorname{snd}(p))$
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497	$(inl(e) _{A+B}^{\gamma} \triangleq \lambda k. \ (e)_A^{\gamma}(\lambda a. k inl(a))$
498	$(\inf(e))_{A+B}^{\gamma} \triangleq \lambda k. \ (e)_{B}^{\gamma}(\lambda b.k \operatorname{inr}(b))$
499	$(inl(a)) (e, b)^{\gamma, a} L$
500	$(\operatorname{case}(e, x. e_1, y. e_2))_C^{\gamma} \triangleq \lambda k. (e)_{A+B}^{\gamma}(\lambda \begin{cases} \operatorname{inl}(a). (e_1)_C^{\gamma,a} k \\ \operatorname{inr}(b). (e_2)_C^{\gamma,b} k \end{cases})$
501	$(\operatorname{inr}(b), (e_2))_C^{p, b} k$
502	$(x)_A^{\gamma} \triangleq \lambda k. k(\gamma(x))$
503	$(\lambda x. e)_{A \to B}^{\gamma} \triangleq \lambda k. k(\lambda a. \lambda k_B. (e)_B^{\gamma,a} k_B)$
504	
505	$\ e_1 \ e_2\ _B^{\gamma} \triangleq \lambda k_B. \ \ e_2\ _A^{\gamma} (\lambda a. \ \ e_1\ _{A \Rightarrow B}^{\gamma} (\lambda f. \ f \ a \ k_B))$
506	$\begin{pmatrix} k_A \triangleq \lambda a. k \text{ inl}(a) \end{pmatrix}$
507	$(\widetilde{\lambda}_{X. e})_{A+B}^{\gamma} \triangleq \lambda k. let \begin{cases} k_A \triangleq \lambda a. k \text{ inl}(a) \\ k_B \triangleq \lambda b. k \text{ inr}(b) \end{cases}$
508	$(\lambda x, e)_{A+B} \qquad \qquad$
509	$in (e)_B^{\gamma,k_A}(k_B)$
510	$4 \sim bY \wedge 2k - 4z bY (2k - 4z bY) (2k - 4z bY)$
511	$(\widetilde{e_1 e_2})_B^{\gamma} \triangleq \lambda k_B. \ (e_2)_{\tilde{A}}^{\gamma} (\lambda k_A. \ (e_1)_{A+B}^{\gamma} (\lambda \begin{cases} \operatorname{inl}(a). \ k_A \ a \\ \operatorname{inr}(b). \ k_B \ b \end{cases}))$
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513	~

Fig. 3. Continuation semantics for $\lambda \tilde{\lambda}$

a continuation k_B , first evaluating the argument e_2 , which requires a continuation $A \to R$. We 516 517 pass a continuation that binds the value a: A, then evaluates the function e_1 in the same context, 518 passing *a* and k_B as arguments.

519 Dual to functions, the continuation of a colambda λx . e: A + B is $k: (A + B) \rightarrow R$, which we can 520 (crucially) split into two continuations $k_A: A \to R$ and $k_B: B \to R$. We pass the continuation k_A 521 into the environment γ , and evaluate the body *e* in this extended environment, continuing with 522 k_B . To translate a coapplication $\tilde{e_1} e_2$: B, we grab a continuation k_B , then evaluate the argument 523 e_2 , which requires a continuation $(A \rightarrow R) \rightarrow R$. We pass a continuation which binds the covalue 524 (or continuation) $k_A: A \to R$, then evaluates the body e_1 in the same context. This requires a 525 continuation $(A + B) \rightarrow R$, which we define by cases. When it receives an inl(a), the computation 526 continues as k_A applied to a – when it receives an inr(b), the computation continues as k_B applied 527 to b. From this semantics, we see that coabstraction and coapplication act as binding operators for 528 continuations - managing the two continuations for a sum type, justifying the slogan "higher-order 529 continuations". The cocurrying isomorphism from equation (2) is:

$$\Gamma \times (A \to R) \to ((B \to R) \to R) \cong \Gamma \to ((A + B) \to R) \to R$$

the lesson being, if you CPS your program, you can dualise functions!

4.2 Loch Ness semantics 534

The continuation semantics in the previous section makes it seem as if cofunctions were pulled out 535 of a hat, and does not explain the conceptual reasons or beauty behind the duality in this language. 536 The right way to understand this language is to understand the abstract structure of continuation 537 semantics using category theory. This is necessary to further develop the metatheory of our 538

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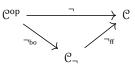
language – its equational theory. The ideas here are well-known in the semantics of continuations
 (from Hofmann, Streicher, Thielecke, Selinger, Fuhrmann). We present a slightly different point of
 view.

There are different approaches to axiomatizing the categorical semantics of continuations [Levy
 2001, § 8.8]:

- axiomatizing the type of continuations $\neg A$ or \tilde{A} directly, following Thielecke [1997], or
- axiomatizing non-returning functions using an exponentiating object Hofmann [1995], Streicher and Reus [1998], and Hofmann and Streicher [2002]

We develop the abstract structure of the first point of view, then instantiate it with the second point of view. Let \mathcal{C} be a (locally small) category with a functor $\neg : \mathcal{C}^{op} \to \mathcal{C}$, that is self-adjoint on the right. This means, for any objects $A, B \in \mathcal{C}$, we have the hom-set isomorphism: $\mathcal{C}(B, \neg A) \cong \mathcal{C}(A, \neg B)$.

The full image of $\neg : \mathbb{C}^{op} \to \mathbb{C}$, written \mathbb{C}_{\neg} , is the (bijective-on-objects, fully faithful) factorisation of \neg , which up to equivalence of categories, is determined by $\mathbb{C}_{\neg}(A, B) \triangleq \mathbb{C}(\neg A, \neg B)$. The functor $\neg_{bo} : \mathbb{C}^{op} \to \mathbb{C}_{\neg}$ is identity on objects, and negates morphisms, and the functor $\neg_{\text{ff}} : \mathbb{C}_{\neg} \to \mathbb{C}$ negates objects, and is identity on morphisms. Dually, the full image of $\neg^{op} : \mathbb{C} \to \mathbb{C}^{op}$ is \mathbb{C}_{\neg}^{op} .



With this situation in mind, we observe the following:

Proposition 4.1.

- (1) $\neg: \mathbb{C}^{op} \to \mathbb{C}$ preserves limits, and $\neg^{op}: \mathbb{C} \to \mathbb{C}^{op}$ preserves colimits.
- (2) \neg_{bo} is a right adjoint, and preserves limits. Dually, \neg_{bo}^{op} is a left adjoint, and preserves colimits.
- (3) \mathbb{C}_{\neg} is equivalent to the opposite of the Kleisli category of the $\neg\neg$ monad on \mathbb{C} , and \mathbb{C}_{\neg}^{op} is equivalent to the Kleisli category.

⁵⁷¹ ⁵⁷² PROOF. We have that, $C^{op}(\neg \neg A, B) \equiv C(B, \neg \neg A) \cong C(\neg A, \neg B) \equiv C_{\neg}(A, B) \equiv C_{\neg}(A, \neg_{bo}B)$, ⁵⁷³ making \neg_{bo} a right adjoint. And, $C_{\neg}(A, B) = C(\neg A, \neg B) \cong C(B, \neg \neg A) \equiv C_{\neg \neg}(A, B)$. \Box

This general situation is exploited to understand the structure of the Kleisli category of the continuation monad.

577 PROPOSITION 4.2. If C is bicartesian, we have

578 (1) $\neg 0 \cong 1$ and $\neg (A + B) \cong \neg A \times \neg B$.

- (2) C_{\neg} is cartesian, with products given by coproducts in C.
- (3) $\neg_{\rm ff}$ preserves products, and reflects exponentials.

PROPOSITION 4.3. If C is bicartesian closed with a fixed object R (the object of responses),

- 583 (1) $\neg \triangleq R^{(-)}$ is a self-adjoint on the right negation functor.
- 584 (2) $\neg \neg$ is a strong monad on C, and has Kleisli exponentials.
- 585 (3) \mathcal{C}_{\neg} is cartesian closed, with exponentials $B \Rightarrow C$ given by $C \times R^B$.
- 586 (4) $\neg_{\rm ff}$ is a cartesian closed functor.
- 587 (5) The Kleisli category of $\neg \neg$ is cocartesian coclosed, and premonoidal.

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Here the trick is revealed, we have a cartesian closed category of values, a cocartesian coclosed Kleisli category (of a strong monad) of computations, and we put them together in a call-by-value language using the ideas of Moggi [1989]! There is no mathematical trickery here, and we're simply exploiting well-known mathematical structure and dressing it up. This is a matter of appearances – a common trait of good magic tricks, and programming language design. Following Taylor [2002], values are X, and we think of covalues/continuations as observations R^X , and computations R^{R^X} are meta-observations.

In terms of Selinger [2001, remark 1.1], $\mathcal{C}_{R^{(-)}}$ is a control category (the interpretation of cbn), and the Kleisli category is a co-control category (the interpretation of cbv). Explicitly, we give all the structure below, which we will use to give a categorical and denotational semantics for $\lambda \tilde{\lambda}$. Following Taylor [2002], we write $R^2 X$ for R^{R^X} .

Definition 4.4.

$$\begin{array}{ccccc} K \colon \mathcal{C} & \to & \mathcal{C} \\ & X & \mapsto & R^2 X \\ X \xrightarrow{f} Y & \mapsto & K(f) \colon R^2 X & \to & R^2 Y \\ & K' & \mapsto & \lambda(k \colon R^Y) \cdot k'(k \circ f) \end{array}$$

The monad structure is given by:

Definition 4.5.

$$\eta_X \colon X \to K(X) \qquad \mu_X \colon K^2(X) \to K(X) \\ x \mapsto \lambda(k \colon R^X) \cdot k(x) \qquad k' \mapsto \lambda(k \colon R^X) \cdot k'(\lambda(k'' \colon R^2 X) \cdot k''(k))$$

K is canonically strong with respect to \times , because \mathcal{C} is cartesian closed. The left and right strengths are given by:

Definition 4.6.

$$\begin{aligned} \tau_{X,Y} \colon X \times KY &\to & K(X \times Y) \\ (x,k') &\mapsto & K(\lambda(y:Y).(x,y))(k') \end{aligned} \qquad \begin{array}{c} \sigma_{X,Y} \colon KX \times Y &\to & K(X \times Y) \\ (k',y) &\mapsto & K(\lambda(x:X).(x,y))(k') \end{aligned}$$

The continuation monad *K* is not commutative because there are two ways to go from $KX \times KY \rightarrow K(X \times Y)$ and they are not necessarily equal. If *K* were commutative, then \mathcal{C}_K (the Kleisli category of *K*) would be star-autonomous (an observation by Hasegawa, see [Melliès and Tabareau 2007]). We write one of the maps (following right-to-left evaluation order) as:

Definition 4.7.

$$\beta_{X,Y} \triangleq K(X) \times K(Y) \xrightarrow{\tau_{KX,Y}} K(KX \times Y) \xrightarrow{K\sigma_{X,Y}} K^2(X \times Y) \xrightarrow{\mu_{X \times Y}} K(X \times Y)$$

Given a Kleisli arrow $f: X \to KY$, its lift is $f^{\dagger}: KX \xrightarrow{Kf} K^2Y \xrightarrow{\mu_Y} KY$. The Kleisli composition of $X \xrightarrow{f} KY$ and $Y \xrightarrow{g} KZ$ is $X \xrightarrow{f} KY \xrightarrow{g^{\dagger}} KZ$. K has Kleisli exponentials since:

$$\mathcal{C}_K(Z \times X, Y) \equiv \mathcal{C}(Z \times X, KY) \cong \mathcal{C}(Z, X \to KY) \equiv \mathcal{C}(Z, X \Rightarrow Y).$$

Coproducts in \mathcal{C}_K are given by the underlying coproducts in \mathcal{C} :

$$\mathfrak{C}_K(X+Y,Z) \equiv \mathfrak{C}(X+Y,KZ) \cong \mathfrak{C}(X,KZ) \times \mathfrak{C}(Y,KZ) \equiv \mathfrak{C}_K(X,Z) \times \mathfrak{C}_K(Y,Z)$$

Finally, we have this hom-set isomorphism in \mathcal{C}_K :

$$\mathfrak{C}_{K}(Z \times \mathbb{R}^{X}, Y) \equiv \mathfrak{C}(Z \times \mathbb{R}^{X}, \mathbb{R}^{\mathbb{R}^{Y}}) \cong \mathfrak{C}(Z \times \mathbb{R}^{X} \times \mathbb{R}^{Y}, \mathbb{R}) \cong \mathfrak{C}(Z \times \mathbb{R}^{X+Y}, \mathbb{R}) \cong \mathfrak{C}(Z, \mathbb{R}^{\mathbb{R}^{X+Y}}) \equiv \mathfrak{C}_{K}(Z, X+Y).$$

Observe that $(-) \times R^X$ is an endofunctor on \mathcal{C}_K , since: 638

This means, we have the following adjoint situation in \mathcal{C}_K , where ${}^XY \triangleq Y \times R^X$: 641 642

X(-) + X + (-).

To work with exponentials and co-exponentials, we adopt the musical notation of adjuncts (flats on 644 the left, sharps on the right) as follows: Currying/uncurrying is the right/left adjunct operation in 645 $(-) \times X + (-)^X$. Co-currying/co-uncurrying is the left/right adjunct operation in X(-) + X + (-). 646

Definition 4.8.

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- The exponential of B by A is written as B^A .
- Given $f: C \times A \to B$, the currying of f is $f^{\sharp}: C \to B^A$.
- Given $g: C \to B^A$, the uncurrying of g is $g^{\flat}: C \times A \to B$.
- Evaluation is $ev_{A,B} \colon B^A \times A \to B \triangleq id_{B^A}^{\flat}$.
- The co-exponential of *B* by *A* is written as ^{*A*}*B*.
- Given $f: B \to A + C$, the co-currying of f is $f^{\flat}: {}^{A}B \to C$.
- Given $g: {}^{A}B \to C$, the co-uncurrying of g is $g^{\sharp}: B \to A + C$.
 - Co-evaluation is $\operatorname{coev}_{A,B} \colon B \to A + {}^{A}B \triangleq \operatorname{id}_{AB}^{\sharp}$.

4.3 The Indiana Control Operators 658

659 The conceptual understanding of the negation functor and the interplay of sums and products in 660 $\mathcal{C}_R, \mathcal{C}_K$ is crucial to understanding the computational behaviour of control. We don't say much 661 about the axiomatics here, but this is used in § 6.

662 The Kleisli inclusion is an ioo functor, and has a right adjoint, hence preserves coproducts. 0 is an initial object in \mathcal{C}_K , and further $K(0) = R^2 0 \cong R^1 \cong R$. Note that, 0 is not a strict initial object, 663 meaning that, having an arrow $A \rightarrow 0$ does not imply $A \cong 0$. We can have non-trivial arrows to 0, 664 665 and these are indeed continuations of A in \mathcal{C}_K . The R object in \mathcal{C}_K enjoys a special status, producing 666 control operators.

The Indiana control operators (Felleisen's A and C) are developed in our semantics as follows. These are axiomatically understood using Hofmann [1995]'s equations. (also see [Hyland et al. 2007, prop 1, 2]).

$$C_{A} \triangleq R^{R^{A}} \xrightarrow{\sim} R^{R^{A}}$$

$$C_{A} \triangleq R^{R^{A}} \xrightarrow{\sim} R^{R^{A}}$$

$$A_{A} \triangleq (A \xrightarrow{\iota_{1}} A + B \xrightarrow{\eta_{A+B}} K(A+B))^{\flat} : A \times R^{A} \to K(B)$$

$$Hold_{A} \triangleq (\Gamma \times R^{A} \xrightarrow{\pi_{2}} R^{A} \xrightarrow{\eta_{R^{A}}} K(R^{A}))^{\sharp} : \Gamma \to K(A+R^{A})$$

$$Callcc_{A}(\Gamma \times R^{A} \xrightarrow{f} K(A)) \triangleq \Gamma \xrightarrow{f^{\sharp}} K(A+A) \xrightarrow{K\nabla_{A}} K(A)$$

$$Call/cc_{A,B}(\Gamma \times (R^{R^{B} \times A}) \xrightarrow{f} K(A)) \triangleq \Gamma \xrightarrow{f^{\sharp}} K(R^{B} \times A + A) \xrightarrow{K[\pi_{2}, 1_{A}]} K(A)$$
The duplicating nature of pattern matching on sums is understood using:

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- $\begin{array}{c|c} K(A+B) & \xrightarrow{K[f,g]} & KC \\ & & & \\ & & & \\ R^{R^{A} \times R^{B}} & \xrightarrow{R^{2}C} \end{array}$ 684 685
- 686

687 $\begin{bmatrix} \mathbf{1} \end{bmatrix} \triangleq 1 \qquad \begin{bmatrix} A \times B \end{bmatrix} \triangleq \begin{bmatrix} A \end{bmatrix} \times \begin{bmatrix} B \end{bmatrix}$ $\begin{bmatrix} \mathbf{0} \end{bmatrix} \triangleq 0 \qquad \begin{bmatrix} A + B \end{bmatrix} \triangleq \begin{bmatrix} A \end{bmatrix} + \begin{bmatrix} B \end{bmatrix}$ $\begin{bmatrix} A \Rightarrow B \end{bmatrix} \triangleq (K \llbracket B \rrbracket)^{\llbracket A \rrbracket} \qquad \llbracket \tilde{A} \rrbracket \triangleq R^{\llbracket A \rrbracket$ 688 $\begin{bmatrix} A + B \end{bmatrix} \triangleq \begin{bmatrix} A \end{bmatrix} + \begin{bmatrix} B \end{bmatrix} \qquad \begin{bmatrix} \cdot \end{bmatrix} \triangleq 1$ $\begin{bmatrix} \tilde{A} \end{bmatrix} \triangleq R^{\llbracket A \rrbracket} \qquad \begin{bmatrix} \Gamma, x : A \end{bmatrix} \triangleq \llbracket \Gamma \rrbracket \times \llbracket A \rrbracket$ 689 690 691 692 (a) $\begin{bmatrix} A \end{bmatrix}$: Obj (b) **[[**] : Obj 693 Fig. 4. Interpretation of types and contexts 694 695 696 5 INTERPRETATION 697 We now give an interpretation for $\lambda\tilde{\lambda}$ using the categorical structure we've defined. This is standard 698 call-by-value semantics from Moggi [1989], with the addition of coproducts and coexponentials. 699 700 Types and contexts. Types and contexts are interpreted as objects in C, as shown in figure 4. 701 **Expressions.** Expressions are interpreted as Kleisli arrows, that is, morphisms in \mathcal{C}_K , as shown 702

Expressions. Expressions are interpreted as Kleisli arrows, that is, morphisms in C_K , as shown in figure 5. This is standard call-by-value semantics from Moggi [1989], with sums and cofunctions. Sums use the cocartesian structure, and distributivity for case. Cofunctions are interpreted using the coexponential adjunction.

Weakening and Substitution. Membership and weakening are interpreted using projections of contexts, as shown in figure 6. To interpret substitutions, we need a value interpretation. The interpretation of values and substitutions is shown in figure 7. The value interpretation is coherent with the expression interpretation, which we use to prove semantic weakening and substitution, in theorem 5.1.

THEOREM 5.1 (SEMANTIC WEAKENING AND SUBSTITUTION).

- If $\Gamma \vdash v : A$, then $\llbracket \Gamma \vdash v : A \rrbracket = \llbracket \Gamma \vdash v : A \rrbracket_v; \eta_A$.
- If $\Gamma \supseteq \Delta$ and $\Delta \vdash e : A$, then $\llbracket \Gamma \vdash e : A \rrbracket = Wk(\Gamma \supseteq \Delta) ; \llbracket \Delta \vdash e : A \rrbracket$.
- If $\Gamma \vdash \theta : \Delta$ and $\Delta \vdash e : A$, then $\llbracket \Gamma \vdash \theta(e) : A \rrbracket = \llbracket \Gamma \vdash \theta : \Delta \rrbracket$; $\llbracket \Delta \vdash e : A \rrbracket$.

Soundness. Using § 4.2, and by unpacking the definition of the continuation monad, we can show that this is sound with respect to the CPS translation in § 4.1.

THEOREM 5.2. If $\Gamma \vdash e : A$, then $([e])_A^{\gamma}(k) = [\Gamma \vdash e : A](\gamma, k)$, for any $\gamma \in [[\Gamma]]$ and $k \in [[A]] \to R$.

6 EQUATIONAL THEORY

The purpose of giving a categorical semantics is to produce an equational theory for the language – which is to be understood as an axiomatic theory generated by an operational semantics. On top of the axiomatic equational theory, we add control effects, validated by our semantics. The equivalence and congruence rules are standard, and we give the additional conversion rules in figure 8.

The conversion rules are the basic ones for call-by-value – extended with sums and co-exponentials in figure 8. Beta laws for both functions and cofunctions are upto values, because substitution holds for values. Functions satisfy eta laws upto values, because these are Kleisli exponentials. But the coexponential adjunction lives in the computation category, so cofunctions satisfy eta laws for expressions! These equations don't perform any control effects – so far they're only exploiting the two adjunctions to validate binding rules.

The real test for an equational theory of continuations is in the axiomatics of control operators [Hyland et al. 2007]. In our calculus, the role of control operators is played by $\tilde{\lambda}$, case, and their interaction with sums. We design equations for control effects in $\lambda \tilde{\lambda}$ in figure 8. These are inspired

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Fig. 5. Interpretation of expressions, $[\Gamma \vdash e : A]$: Hom $([\Gamma], K[A])$

by Hofmann [1995] and Hofmann and Streicher [2002]'s equations, and Selinger [2001] equations for cbv $\lambda\mu$. We use evaluation contexts instead of commuting conversions, with appropriate freeness assumptions $\mathcal{E} = \mathcal{E} \otimes |e| = \mathcal{E} |\mathcal{E} |e| = \mathcal{E} |fst(\mathcal{E})| snd(\mathcal{E}) |(e, \mathcal{E})| (\mathcal{E}, v)$. We remark that these operators have better types (than callcc/abort) – better types give better equations!

 λ -const is the constant rule – when the covalue is not used, it's a right-biased sum (cf. Selinger's let_{name}). The next two rules are the interaction of normal sums and cofunctions. $\tilde{\lambda}$ -inr-PASS is like transparent pass through, or that inr produces normal return – if the covalue was created by $\tilde{\lambda}$ but then used with inr, it might as well have never been created. λ -inl-IUMP is the interesting control effect, it is a non-local "jump with argument" (see[Thielecke 1999; Levy 2003]), where we throw to

$$\begin{bmatrix} \hline x \\ 787 \\ 788 \\ 789 \\ 790 \\ 791 \\ 792 \\ 791 \\ 792 \\ 793 \\ 794 \\ (a) \begin{bmatrix} x : A \in \Gamma \end{bmatrix} : Hom(\llbracket \Gamma \rrbracket, \llbracket A \rrbracket) \qquad (b) Wk(\Gamma \supseteq \Delta) \triangleq \llbracket \Gamma \supseteq \Delta \rrbracket : Hom(\llbracket \Gamma \rrbracket, \llbracket \Delta \rrbracket)$$

Fig. 6. Interpretation of Membership and Weakening

$$\begin{bmatrix} \overline{\Gamma \vdash \star : 1} \\ \Gamma \vdash \star : 1 \end{bmatrix}_{v} \triangleq !_{\Gamma}$$

$$\begin{bmatrix} \overline{\Gamma \vdash v_{1} : A} \\ \Gamma \vdash v_{2} : B \\ \Gamma \vdash (v_{1}, v_{2}) : A \times B \end{bmatrix}_{v} \triangleq \langle [\Gamma \vdash v_{1} : A]]_{v}, [\Gamma \vdash v_{2} : B]]_{v} \rangle$$

$$\begin{bmatrix} \frac{x : A \in \Gamma}{\Gamma \vdash x : A}]_{v} \triangleq [x : A \in \Gamma]]$$

$$\begin{bmatrix} \frac{\Gamma, x : A \vdash e : B}{\Gamma \vdash \lambda(x : A) \cdot e : A \Rightarrow B}]_{v} \triangleq [\Gamma, x : A \vdash e : B]]^{\sharp}$$

$$\begin{bmatrix} \frac{\Gamma \vdash e : A}{\Gamma \vdash \operatorname{inl}(e) : A + B}]_{v} \triangleq [\Gamma \vdash v : A]]_{v} : i_{1} [\frac{\Gamma \vdash e : B}{\Gamma \vdash \operatorname{inr}(e) : A + B}]_{v} \triangleq [\Gamma \vdash v : B]]_{v} : i_{2}$$
(a) $[\Gamma \vdash v : A]]_{v} : \operatorname{Hom}([\Gamma]], [A])$

$$[\frac{\Gamma \vdash \theta : \Delta}{\Gamma \vdash \langle \theta, v \rangle_{x} : \Delta, x : A}] \triangleq \langle [\Gamma \vdash \theta : \Delta]], [\Gamma \vdash v : A]]_{v} \rangle$$
(b) $[\Gamma \vdash \theta : \Delta]] : \operatorname{Hom}([\Gamma]], [\Delta])$
Fig. 7. Interpretation of values and substitution

the left. This behaves like Felleisen's \mathcal{A} (Hofmann's $\mathcal{A} - ABS$ equation), backtracking to where the speculative choice was created, resuming with the value of the argument. The evaluation context gets discarded - to an observer this speculative computation never ran.

The next control effect is the interaction of case and cofunctions. λ makes a speculative choice, and case is trying to observe this choice. $\hat{\lambda}$ evaluates its body to a value – then speculatively offers the right side of the choice to the observer. The interesting behaviour happens when the observer uses the bound covalue to do another effect! Finally, CASE- ζ shows the duplication of evaluation contexts on both branches of case. These equations are proven sound with respect to the semantics developed.

THEOREM 6.1 (SOUNDNESS OF EQUATIONAL THEORY). If $\Gamma \vdash e_1 \approx e_2 : A$, then $[\Gamma \vdash e_1 : A] = [\Gamma \vdash e_2 : A]$.

$$\frac{\Gamma + \upsilon : 1}{\Gamma + \upsilon : \star : 1} \ln \eta$$

$$\frac{\Gamma + \upsilon : 1}{\Gamma + \upsilon : \star : 1} \ln \eta$$

$$\frac{\Gamma + \upsilon : A}{\Gamma + \operatorname{fst}((\upsilon_1, \upsilon_2)) \approx \upsilon_1 : A} \times_1 \beta$$

$$\frac{\Gamma + \upsilon : A \times B}{\Gamma + (\operatorname{fst}(\upsilon_1, \upsilon_2)) \approx \upsilon_1 : A} \times_1 \beta$$

$$\frac{\Gamma + \upsilon : A \times B}{\Gamma + (\operatorname{fst}(\upsilon_1, \upsilon_2)) \approx \upsilon_1 : A} \times_1 \beta$$

$$\frac{\Gamma + \upsilon : A \times B}{\Gamma + (\operatorname{fst}(\upsilon_1, \operatorname{snd}(\upsilon_1)) \approx \upsilon : A \times B} \times_1 \beta$$

$$\frac{\Gamma + \upsilon : A - \Gamma, x : A + e_1 : C - \Gamma, y : B + e_2 : C}{\Gamma + \operatorname{case}(\operatorname{int}(\upsilon_1, x, e_1, y, e_2) \approx [\forall/x]e_1 : C} + \operatorname{inl}\beta$$

$$\frac{\Gamma + \upsilon : A - \Gamma, x : A + e_1 : C - \Gamma, y : B + e_2 : C}{\Gamma + \operatorname{case}(\operatorname{int}(\upsilon_1, x, e_1, y, e_2) \approx [\forall/y]e_2 : C} + \operatorname{inr}\beta$$

$$\frac{\Gamma + \upsilon : A - B}{\Gamma + (\operatorname{case}(\operatorname{inr}(\upsilon_1, x, e_1, y, e_2) \approx [\forall/y]e_2 : C} + \operatorname{inr}\beta$$

$$\frac{\Gamma, x : A + e : B - \Gamma + \upsilon : A}{\Gamma + (\operatorname{case}(x, \operatorname{inl}(x), y, \operatorname{inr}(y)) \approx e : A + B} + \eta$$

$$\frac{\Gamma, x : A + e : B - \Gamma + \upsilon : A}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} \Rightarrow \beta$$

$$\frac{\Gamma + \upsilon : A \Rightarrow B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} \Rightarrow \beta$$

$$\frac{\Gamma + \upsilon : A \Rightarrow B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} \Rightarrow \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

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$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx [\forall/x]e : B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx (\forall/x]e : A + B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e) \upsilon \approx (\forall/x]e : A + B} = \lambda \beta$$

$$\frac{\Gamma + \varepsilon : A + B}{\Gamma + (\operatorname{d}(x : A), e$$

 $\begin{array}{c} 880\\ 881\\ \hline{\lambda}x. \ x \ \text{with case.} \end{array}$

$$\frac{\Gamma \vdash e : B}{\Gamma \vdash (\widetilde{\lambda}(x : \widetilde{A}). e) \approx \mathsf{inr}_A(e) : A + B} \widetilde{\lambda}\text{-const}$$

 $\frac{\Gamma \vdash e: B \qquad \Gamma \vdash \mathcal{E} \ll e \gg : C \qquad \Gamma, x: \tilde{A} \vdash \mathcal{E} \ll \widetilde{\mathsf{inr}_A(e)} x \gg : C}{\Gamma \vdash (\tilde{\lambda}(x:\tilde{A}), \mathcal{E} \ll \widetilde{\mathsf{inr}_A(e)} x \gg) \approx \mathsf{inr}_A(\mathcal{E} \ll e \gg) : A + C} \tilde{\lambda} \text{-inr-pass}$ $\frac{\Gamma \vdash e : A \qquad \Gamma, x : \tilde{A} \vdash \tilde{\mathcal{E}} \otimes \widetilde{\mathsf{inl}_C(e)} x \otimes : B}{\Gamma \vdash (\tilde{\lambda}(x : \tilde{A}), \tilde{\mathcal{E}} \otimes \widetilde{\mathsf{inl}_C(e)} x \otimes) \approx \mathsf{inl}_B(e) : A + B} \tilde{\lambda} \operatorname{-inl-jump}$ $\frac{\Gamma, x: \tilde{A} \vdash v: B \qquad \Gamma, y: A \vdash e_1: C \qquad \Gamma, z: \tilde{A} \vdash e_2: C}{\Gamma \vdash \mathsf{case}((\tilde{\lambda}(x: \tilde{A}), v), y, e_1, z, e_2) \approx \mathsf{case}((\tilde{\lambda}(x: \tilde{A}), [v/z]e_2), x, e_1, z, z): C} \qquad \mathsf{case} \cdot \tilde{\lambda} - \beta$ $\frac{\Gamma \vdash \& (\operatorname{case}(e, x. e_1, y. e_2)) : C \qquad \Gamma \vdash \operatorname{case}(e, x. \& (e_1)), y. \& (e_2)) : C}{\Gamma \vdash \& (\operatorname{case}(e, x. e_1, y. e_2)) \approx \operatorname{case}(e, x. \& (e_1)), y. \& (e_2)) : C}$ case- ζ

Fig. 8. Control effects in $\lambda \tilde{\lambda}$

	$case(\widetilde{\lambda}x. x, a. 0, k. 1)$		$case(\widetilde{\lambda}x. x, a. 0, k. \widetilde{inl(1) k})$
\sim	$case(\lambda x. 1, a. 0, y. y)$	\sim	$case(\lambda x. inl(1) x, a. 0, y. y)$
\sim	case(inr(1), a. 0, y. y)	\sim	case(inl(1), a. 0, y. y)
\sim	1	\sim	0

We can define $\mathcal{C}_A : \tilde{\tilde{A}} \to A$ and its inverse from TND (double negation elimination and introduction).

910	fun C (kka : co (co a)) =	fun C [*] (a : a) =
911	case (cofn $x \Rightarrow x$) of	case (cofn x \Rightarrow $x)$ of
912	INL a \Rightarrow a	INL ka \Rightarrow (INL a) @ ka
913	INR ka \Rightarrow (INL ka) @ kka	INR kka \Rightarrow ka
914		

We can further verify that these equations validate Hofmann and Streicher [1997]'s axiomatics of cbv control operators. Since we don't have 0, some of these equations have to be adjusted from Hofmann's versions.

PROPOSITION 6.3. The equational theory validates these equations:

$$C_{A}(\hat{\mathbb{C}}(e)) = e \qquad \mathbb{C}\text{-}APP$$

$$\operatorname{callcc}_{A}(\lambda(k:\tilde{A}), \mathcal{E}\langle \operatorname{inl}(e)|\bar{k}\rangle) = e \qquad \operatorname{callcc-}APP$$

$$\operatorname{call/cc}_{A,B}(\lambda(k:A \to B), \mathcal{E}\langle k e\rangle) = e \qquad \operatorname{call/cc-}ABS$$

$$\operatorname{call/cc}_{A,B}(e) = \operatorname{call/cc}_{A,C}(\lambda(k:A \to C), e(\lambda(x:A), \mathcal{E}\langle k x\rangle)) \qquad \operatorname{call/cc-}APP$$

$$\mathcal{E}\langle \operatorname{call/cc}_{A,B}\rangle = \operatorname{call/cc}_{C,B}(\lambda(k:C \to B), \mathcal{E}\langle e(\lambda(x:A), k(\mathcal{E}\langle x \rangle))\rangle) \qquad \operatorname{call/cc-}NAT$$

Not including the 0 type is a stylistic choice, not a semantic one, since it is interpreted by R, and \hat{A} becomes equivalent to $A \Rightarrow \mathbf{0}$ after adding equations for \mathcal{A} . We prefer to abort using sums, by inl

and coapplication, which is semantically equivalent. Another approach is to add a judgement for non-returning programs, like in Zeilberger [2009]'s cbv CPS calculus, or Levy [2003]'s JwA.

7 DUALITY OF ARROWS

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The λ -calculus (or higher-order functions) can be decomposed into first-order κ/ζ calculi [Hasegawa 1995] with value/variable arrows. Building on the theme of duality – we show the decomposition of $\tilde{\lambda}$ (or higher-order cofunctions) into first-order $\tilde{\kappa}/\tilde{\zeta}$ calculi with covariable/covalue (co)arrows.

The essential idea behind this is Lambek [1974]'s functional completeness – a consequence of cartesian closure. We perform a conceptual reconstruction of Hasegawa's ideas using abstract properties of (co)monads and adjunctions, allowing us to dualise each step.

7.1 Dualizing Functional Completeness

⁹⁴⁴ From an observation, originally due to Hermida [1993]:

PROPOSITION 7.1 (HERMIDA [1993, PROP. 5.2.1]). Given a comonad $G: \mathcal{C} \to \mathcal{C}$ and its Kleisli resolution $F_G \dashv U_G: \mathcal{C} \to \mathcal{C}_G$,

the following are equivalent:

(1) G has a right adjoint $G \dashv T : \mathcal{C} \rightarrow \mathcal{C}$.

(2) U_G has a right adjoint $U_G \dashv R \colon \mathcal{C}_G \to \mathcal{C}$.

Under either of the above equivalent hypotheses, $T(= R \circ U_G)$ is the functor part of a monad, and the corresponding Kleisli category C_T is isomorphic to C_G .

Dually, if a monad $T: \mathcal{C} \to \mathcal{C}$ has Kleisli resolution $U_T \dashv F_T: \mathcal{C} \to \mathcal{C}_T$, the following are equivalent:

(1) T has a left adjoint $G \dashv T : \mathcal{C} \rightarrow \mathcal{C}$.

(2) U_T has a left adjoint $L \dashv U_T \colon \mathfrak{C}_T \to \mathfrak{C}$.

Then, $G(= L \circ F_T)$ is the functor part of a comonad, and the corresponding Kleisli category \mathcal{C}_G is isomorphic to \mathcal{C}_T .

PROOF. Starting from (1), the functor R is given by T on objects, and for $Ga \xrightarrow{f} b$, acts on morphisms as $Ta \xrightarrow{T\eta_a} TGTa \xrightarrow{T\delta_{Ta}} TGGTa \xrightarrow{TG\epsilon_a} TGa \xrightarrow{Tf} Tb$. This makes $T = R \circ U_G$ a monad. Hermida gives a direct calculation of the monad structure.

The informal idea is that in the λ -calculus, $C \times (-)$ is a reader/coreader/environment comonad, with a free value $1 \rightsquigarrow C$, and its right adjoint $C \Rightarrow (-)$ is a reader monad, with a free value $1 \rightsquigarrow C$ injected into its environment. Dually, C + (-) is an exception monad, with a free covalue $C \rightsquigarrow 0$ in its environment, that is, an escape hatch to jump to *C*. In $\tilde{\lambda}$ (with cocartesian coclosure), this has a left adjoint comonad $^{C}(-)$, which merits the name: exception/coexception/handler comonad. It has a free covalue $C \rightsquigarrow 0$ injected into its environment, or, a handler for *C*.

PROPOSITION 7.2. In a cartesian closed category with $c \times (-) \dashv (-)^c \colon \mathcal{C} \to \mathcal{C}$:

- (1) $c \times (-) \colon \mathcal{C} \to \mathcal{C}$ is a comonad.
- $\begin{array}{l} \begin{array}{c} & (1) & (1) & (1) & (2) \\ \hline & & (2) & (-)^c : \ \mathcal{C} \to \ \mathcal{C} \ is \ a \ monad. \end{array}$
 - (3) Their Kleisli categories are equivalent: $C(c \times a, b) \cong C(a, b^c)$.
 - (4) Their Kleisli categories are cartesian closed.

In a cocartesian coclosed category with ${}^{c}(-) \dashv c + (-) \mathcal{C} \rightarrow \mathcal{C}$:

977 (1) $c + (-): \mathcal{C} \to \mathcal{C}$ is a monad.

- 978 (2) $^{c}(-): \mathcal{C} \to \mathcal{C}$ is a comonad.
- 979 (3) Their Kleisli categories are equivalent: $C(^{c}a, b) \cong C(a, c + b)$.

(4) Their Kleisli categories are cocartesian coclosed.

The Kleisli category $\mathcal{C}_{c\times(-)}$, written $\mathcal{C}[c]$, has a generic element (value) $e_c : 1 \to c$, given by $c \times 1 \to c$. This is Hasegawa's "fullness condition": $\mathcal{C}[c](1,-) \cong \mathcal{C}(c,-)$. Dually, the Kleisli category $\mathcal{C}_{c+(-)}$, written $\mathcal{C}[\tilde{c}]$, has a generic element (covalue) $e_{\tilde{c}} : c \to 0$, given by $c \to c + 0$. From this, we derive functional completeness and its dual:

PROPOSITION 7.3 (FUNCTIONAL COMPLETENESS). Let \mathcal{C} and \mathcal{D} be cartesian closed categories and $F: \mathcal{C} \to \mathcal{D}$ a ccc functor. Let $c \in \mathcal{C}$, and $t: F(1) \cong 1 \to F(c)$ be an element in \mathcal{D} . There is a unique (upto isomorphism) extension of F to a ccc functor $\tilde{F}: \mathcal{C}[c] \to \mathcal{D}$, such that $\tilde{F} \circ U_{c\times(-)} \cong F$, and $F(e_c) = t$.

Dually, let \mathbb{C} and \mathbb{D} be cocartesian coclosed categories and $F: \mathbb{C} \to \mathbb{D}$ a coccc functor. Let $c \in \mathbb{C}$, and $t: F(c) \to F(0) \cong 0$ be an element in \mathbb{D} . There is a unique (upto isomorphism) extension of F to a coccc functor $\tilde{F}: \mathbb{C}[\tilde{c}] \to \mathbb{D}$, such that $\tilde{F} \circ U_{c+(-)} \cong F$, and $F(e_{\tilde{c}}) = t$.



PROOF. \tilde{F} is given by F on objects, and on morphisms calculated as follows:

$$F(a) \xrightarrow{\sim} 1 \times F(a) \xrightarrow{\sim} F(1) \times F(a) \xrightarrow{t \times F(a)} F(c) \times F(a) \xrightarrow{\sim} F(c \times a) \xrightarrow{F(f)} F(b)$$

$$F(a) \xrightarrow{F(f)} F(c+b) \xrightarrow{\sim} F(c) + F(b) \xrightarrow{t+F(b)} F(0) + F(b) \xrightarrow{\sim} 0 + F(b) \xrightarrow{\sim} F(b)$$

The Kleisli resolutions of these monads/comonads produce Hasegawa's left/right adjoints to inclusion functors, giving κ/ζ abstraction, and their duals $\tilde{\kappa}/\tilde{\zeta}$ abstraction.

$$F_{c\times(-)} + U_{c\times(-)} \colon \mathcal{C} \to \mathcal{C}[c] \qquad F_{(-)^c} + U_{(-)^c} \colon \mathcal{C}[c] \to \mathcal{C}$$

$$F_{c+(-)} + U_{c+(-)} \colon \mathcal{C}[\tilde{c}] \to \mathcal{C} \qquad F_{c(-)} + U_{c(-)} \colon \mathcal{C} \to \mathcal{C}[\tilde{c}]$$

7.2 κ/ζ and $\tilde{\kappa}/\tilde{\zeta}$ calculi

From this analysis, we extract a presentation of the dual $\tilde{\kappa}/\tilde{\zeta}$ calculi, with substitution and equations, given in figures 9 and 10. We see the – type in action, for the handler comonad.

Just as κ and ζ can be understood as first-order languages for understanding functions, $\tilde{\kappa}$ and $\tilde{\zeta}$ can be understood as first-order languages for understanding exceptions and handlers. By interpreting in our running cocc category \mathbb{C}_K , a covalue $c : C \rightarrow 0$ is a $C \rightarrow R^2 0 \cong C \rightarrow R$ – a continuation, and these first-order operations bind and apply covalues on arrows, changing control flow. The type A - C is interpreted as A with a handler for C attached, and C + B is a B program that could throw an A. The operators themselves don't have control effects.

As an example, suppose we have a sequential program:

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D \xrightarrow{e} E$$

We decide to inspect the program at *C*, so we insert a code pointer (or breakpoint):

$$A \xrightarrow{f} B \xrightarrow{\tilde{\zeta} z^Z \cdot g} Z + C \xrightarrow{h^Z} Z + D \xrightarrow{\widetilde{\text{pass}}_D(z)} D \xrightarrow{e} E$$

$$[x:1 \rightsquigarrow C]$$

$$\vdots$$

$$\frac{f:A \rightsquigarrow B}{\kappa x^{C} \cdot f:(C \times A) \rightsquigarrow B} \times L$$

$$\frac{c:1 \rightsquigarrow C}{\text{lift}_{A}(c):A \rightsquigarrow (C \times A)} \times R$$

$$\frac{c:1 \rightsquigarrow C}{\text{pass}_{B}(c):(C \Rightarrow B) \rightsquigarrow B} \Rightarrow L$$

$$[x:1 \rightsquigarrow C]$$

$$\vdots$$

$$\frac{f:A \rightsquigarrow B}{\zeta x^{C} \cdot f:A \rightsquigarrow (C \Rightarrow B)} \Rightarrow R$$

$$[x:C \sim 0]$$

$$\vdots$$

$$\frac{f:A \sim B}{\kappa x^{C} \cdot f:(A - C) \rightsquigarrow B} - L$$

$$\frac{c:C \sim 0}{\text{lift}_{A}(c):A \rightsquigarrow (A - C)} - R$$

$$\frac{c:C \sim 0}{\text{pass}_{B}(c):(C + B) \rightsquigarrow B} + L$$

$$[x:C \sim 0]$$

$$\vdots$$

$$\frac{f:A \sim B}{\zeta x^{C} \cdot f:A \sim (C + B)} + R$$

$$[x:1 \rightsquigarrow C]$$

$$\vdots$$

$$\frac{f:A \rightsquigarrow B \quad c:1 \rightsquigarrow C}{(\kappa x^{C}.f) \circ \operatorname{lift}_{A}(c) \equiv f[c/x]:A \rightsquigarrow B} \kappa^{+}$$

$$\frac{h:(C \times A) \rightsquigarrow B}{\kappa x^{C}.(h \circ \operatorname{lift}_{A}(x)) \equiv h:(C \times A) \rightsquigarrow B} \kappa^{-}$$

(a) κ calculus

$$\frac{h: A \rightsquigarrow (C \Longrightarrow B)}{\zeta x^{C}.(\text{pass}_{B}(x) \circ h) \equiv h: A \rightsquigarrow (C \Longrightarrow B)} \zeta^{-}$$

$$[x: \mathbf{1} \rightsquigarrow C]$$

$$\vdots$$

$$\frac{f: A \rightsquigarrow B}{\text{pass}_{B}(c) \circ (\zeta x^{C}.f) \equiv f[c/x]: A \rightsquigarrow B} \zeta^{+}$$

(b) ζ calculus

Fig. 9. κ and ζ calculi

$$[x:C \rightsquigarrow \mathbf{0}]$$

$$\vdots$$

$$f:A \rightsquigarrow B$$

$$\widetilde{\kappa}x^{C}.f \circ \widetilde{\text{lift}}_{A}(c) \equiv f[c/x]:A \rightsquigarrow B$$

$$\kappa^{+}$$

$$h:(A-C) \rightsquigarrow B$$

$$\kappa^{-}$$

$$\overline{\widetilde{\kappa x}^C}.(h \circ \widetilde{\operatorname{lift}}_A(x)) \equiv h: (A - C) \rightsquigarrow B$$

(a) $\tilde{\kappa}$ calculus

$$\frac{h: A \rightsquigarrow (C+B)}{\widetilde{\zeta}x^{C}.(\widetilde{\mathsf{pass}}_{B}(x) \circ h) \equiv h: A \rightsquigarrow (C+B)} \widetilde{\zeta}^{-}$$

$$[x: C \rightsquigarrow \mathbf{0}]$$

$$\vdots$$

$$f: A \rightsquigarrow B$$

$$\widetilde{\mathsf{pass}}_{B}(c) \circ (\widetilde{\zeta}x^{C}.f) \equiv f[c/x]: A \rightsquigarrow B$$

$$\widetilde{\zeta}^{+}$$

(b) $\tilde{\zeta}$ calculus

Fig. 10. $\tilde{\kappa}$ and $\tilde{\zeta}$ calculi

The program h^Z could then use the Z path to do something interesting – inspect the program's state at that point, modify it, or return a Z value, skipping *e* and escaping. This suggests a mechanism for debugging or checkpoints.

1083 8 IMPLEMENTING $\tilde{\lambda}$

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¹⁰⁸⁴ Conor McBride once said:

Moggi [1989] taught the dog how to bark, Wadler [1993] made us bark ourselves.

¹⁰⁸⁷ Unlike other dual calculi, ours readily adapts to control operators because of its natural deduction ¹⁰⁸⁸ style presentation, that can be implemented in or retrofit into a real-world programming language. ¹⁰⁸⁹ We can implement $\tilde{\lambda}$ using native continuations (like in SML), or we can implement it using a ¹⁰⁹⁰ continuation monad (like in Haskell). We describe both implementations, and their applications ¹⁰⁹¹ are in the supplementary material.

SML. In SML, we implement them using the native continuation type with control operators callcc/throw, and sum types. This encoding shows how colam/coapp are like callcc/throw, but with fancier types.

```
1096
         signature COEXP =
1097
         sig
1098
              type 'a cont
              val colam : ('a cont \rightarrow 'b) \rightarrow ('a, 'b) either
1099
1100
              val coapp : ('a, 'b) either \rightarrow 'a cont \rightarrow 'b
1101
         end
1102
         structure Coexp: COEXP =
1103
         struct
              type 'a cont = 'a cont
1104
1105
              fun colam (f : 'a cont \rightarrow 'b) : ('a, 'b) either =
1106
                 callcc (fn (k : ('a, 'b) either cont) \Rightarrow
1107
                   let val a = callcc (fn (ka : 'a cont) \Rightarrow throw k (INR (f ka)))
1108
                   in throw k (INL a)
1109
                   end)
1110
              fun coapp (e : ('a, 'b) either) (k : 'a cont) : 'b =
1111
                 case e of
1112
                   INL a \Rightarrow throw k a
1113
                 | INR b \Rightarrow b
1114
         end
1115
         We then recover callcc/throw from colam/coapp.
1116
1117
         fun codiag (e : ('a, 'a) either) : 'a =
1118
            case e of
1119
              INL a \Rightarrow a
1120
            | INR a \Rightarrow a
1121
          fun callcc (f : 'a cont \rightarrow 'a) : 'a = codiag (colam f)
1122
         fun throw (a : 'a) (k : 'a cont) : 'b = coapp (INL a) k
1123
1124
      Haskell. In Haskell, we implement using the continuation monad Cont r.
1125
```

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```
colam :: ((a \rightarrow r) \rightarrow Cont r b) \rightarrow Cont r (a + b)
1128
           colam f = cont $ \k \rightarrow
1129
              let k1 = k . Left
1130
                   k2 = k . Right
1131
              in runCont (f k1) k2
1132
1133
           coapp :: Cont r (a + b) \rightarrow (a \rightarrow r) \rightarrow Cont r b
1134
           coapp e1 k1 = cont $ k2 \rightarrow
1135
              runCont e1 $ \case
1136
                Left a \rightarrow k1 a
1137
                Right b \rightarrow k2 b
1138
1139
```

Backtracking. These co-exponential combinators are useful for programming with two continua tions (double-barrelled cps), which is a common style for backtracking, with a success and a failure continuation.

```
1144
           swap :: a + b \rightarrow b + a
1145
           swap = either Right Left
1146
           assumeRight :: ((a \rightarrow r) \rightarrow Cont r b) \rightarrow Cont r (a + b)
1147
           assumeRight = colam
1148
1149
1150
           resolveRight :: Cont r (a + b) \rightarrow (a \rightarrow r) \rightarrow Cont r b
           resolveRight = coapp
1151
1152
           assumeLeft :: ((b \rightarrow r) \rightarrow Cont \ r \ a) \rightarrow Cont \ r \ (a + b)
1153
           assumeLeft = fmap swap . colam
1154
1155
           resolveLeft :: Cont r (a + b) \rightarrow (b \rightarrow r) \rightarrow Cont r a
1156
1157
           resolveLeft = coapp . fmap swap
1158
```

¹¹⁵⁹ Using this DSL for backtracking, we program a SAT solver, and backtracking tree search.

1160 **Effect handlers.** Effect handlers are a natural example for managing stacks of continuations – 1161 the handler algebra $fr \rightarrow r$, and the generator $a \rightarrow r$, where f is the signature. Of course, this 1162 requires the result type to be manipulated in the type of the handler algebra. With this fancier type, 1163 effect handlers can be encoded by using a CPS-encoded Free monad, and using the co-exponential 1164 combinators to manipulate the stack of handlers.

These applications are worked out in the supplementary material.

¹¹⁶⁷ 9 DISCUSSION

The theme of this work is the duality of currying and cocurrying, which is used to produce a duality of abstraction – for values and covalues. This is a useful perspective showing that values and continuations are dual to each other and have the same ontological status. Continuations producing left adjoints to sums provides insights into the behavior of sums and control flow.

Axiomatics of control effects. We conflated value sums and computational sums – but this language can also be presented in fine-grained call-by-value, and then axiomatized using Freyd categories. This is a framework for studying equations for control effects, which we will pursue in

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1166

Anon.

future work, and also understand the status of completeness with respect to CPS semantics. The state of the art is in the work of Führmann and Thielecke [2004], and their reflection/structure theorems.

This calculus presented shows how covalues/continuations are *capabilities* – they provide an
 escape catch to perform control effects. This is a good fit for the purity comonads of Choudhury and
 Krishnaswami [2020]. Dropping capability variables from the context would block control effects,
 recovering pure sums from backtracking sums! This needs to be worked out in the denotational
 semantics.

Dual calculi. The modern view of dualities of computation is in polarised adjunction calculi and
 their models [Curien, Fiore, et al. 2016]. The duality exploited here shows up in their cartesian
 polarised structure theorems (Ex.27). Freyd categories with closed, coclosed structure can be related
 to Fiore's biclosed action models, which we will explore further.

In general, dual calculi for values and covalues take both cbv and cbn points of view, but ours restricts to the cbv case and exhibits a different duality. This can be understood by looking at the cbv variants of $\lambda\mu$ and $\mu\tilde{\mu}$. Selinger [2001] presents a cbv version of $\lambda\mu$ with μ binding two variables, which uses the coexponential interpretation. Other presentations of $\lambda\mu$ in cbv, such as the one by Ong and Stewart [1997], does not exhibit this structure. The cbv translation of $\mu\tilde{\mu}$ in [Curien and Herbelin 2000] uses the subtraction type, but they do not develop an equational theory.

There are too many presentations of CPS calculi in the literature to compare against. The crucial difference is that we stick to a natural deduction style presentation – the closest cousins are Levy's JwA and Zeilberger's cbv cps calculus (which use non-returning judgements), or Harper's callcc in SML. Compared to these, we exploit coexponentials to exhibit a duality. Ariola et al. [2009] present a sequent calculus language for subtraction, but we have not added subtraction as a primitive. Instead we show it in our dual arrow calculi.

- **Logical aspects.** This is a calculus for classical logic provability in Gentzen's LK (without \perp) is equivalent to typability in $\lambda \tilde{\lambda}$. Similarly, provability in Crolard [2001]'s subtractive logic + TND is equivalent to typability in $\lambda \tilde{\lambda}$.
- This work was inspired by the semantic understanding of dualities in session types and classical linear logic, in particular, while trying to understand the axiomatics of $(-)^*$ in star-autonomous categories. The $(-)^*$ operator is an involution, but $R^{(-)}$ of continuations is not. But, they both exhibit a function/cofunction duality – star-autonomous categories have $-\circ$ and $\circ-$. This is developed in the work of Melliès [2017] on dialogue categories, studying the axiomatics of negation motivated by game semantics, which inspired our analysis. The composable continuations monad [Atkey 2009] has a similar adjunction, but it is not a monad: $C \times S^A \cong C \to R^{S^{A+B}}$.

Lawvere's boundary operator. The type $\partial A = A - A$ is Lawvere's boundary operator of co-Heyting algebras. Using the subtraction type, this admits a computational interpretation – producing differential structure, for example, it admits a Leibniz rule: $\partial A \times B = \partial A \times B + A \times \partial B$.

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SUPPLEMENTARY MATERIAL FOR SECTION 1 (INTRODUCTION) Α 1324

1325 For logicians: the duality is in the symmetry of these two logical equivalences: 1326

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$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \to I \qquad \qquad \Gamma \vdash A \\ \frac{\Gamma, \neg A \vdash \neg \neg B}{\Gamma \vdash B} \to E \qquad \frac{\Gamma, \neg A \vdash \neg \neg B}{\Gamma \vdash \neg \neg (A \lor B)} \qquad \Gamma \vdash \neg A$$

The second derivation holds in intuitionistic logic, which crucially depends on the encoding of $\neg A$ 1330 1331 as $A \rightarrow \bot$.

$$\Gamma \vdash \neg \neg (A \lor B)$$

1344 In type theory and category theory, these are the two isomorphisms:

$$C \times A \to B \cong C \to B^A$$
 $C \times R^A \to R^{R^B} \cong C \to R^{R^{A+E}}$

or in terms of adjunctions:

 $(-) \times A + (-)^A$ $(-) \times \mathbb{R}^A + A + (-)$

where the first adjunction lives in a cartesian closed category of values, and the second adjunction lives in a cocartesian coclosed category of computations: the Kleisli category of the double negation, or double dualization, or continuation monad.

SUPPLEMENTARY MATERIAL FOR SECTION 2 (DUALITY BY EXAMPLE) В

Using case, we can define the familiar callcc control operator:

```
fun callcc (f : co a \rightarrow a) : a =
     let (s : a + a) = cofn (k : co a) \Rightarrow f k
     in case s of
            INL a \Rightarrow a
         | INR a \Rightarrow a
```

This definition highlights the duplicating nature of callcc – if it weren't a sum type, we wouldn't have case. So far, we have seen values and covalues, and they live in harmony, by the use of functions and cofunctions, and they don't interact. To make them interact we need a throw operation, which we can define using coapplication:

fun throw (x : a) (k : co a) : b = (INL x) @ k

To a continuations afficionado, these operators are familiar, except we have a primitive type **co** a 1369 for covalues (which are, not surprisingly, continuations), and we can program with them recovering 1370 the computational interpretation of classical logic. Tertium Non Datur (or the Law of the Excluded 1371

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Middle) is the identity cofunction, as we have already seen, saying that any type can produce a
value or a covalue out of nothing, with no third possibility. Exploiting tnd and case, we can perform
double negation introduction:

```
1377fun tnd () : a + co a = cofn (k : co a) \Rightarrow k1378fun dni (x : a) : co (co a) =1379case tnd () of1380INL ka \Rightarrow throw x ka1381| INR kka \Rightarrow kka
```

¹³⁸² ¹³⁸³ Crucially, note that we are working with a primitive notion of negation, the co a type, instead of ¹³⁸⁴ negation as a function $a \rightarrow 0$. The self-adjointness of negation is fundamental, and is captured by ¹³⁸⁵ the following term:

¹³⁹³ Using this, we can define double negation elimination, and the contravariance of negation:

The classical encoding of functions as material implication is obtained as follows:

```
1401fun lam (f : a \rightarrow b) : co a + b =1402cofn kka \Rightarrow f (dne kka)1403fun app (e : co a + b) : a \rightarrow b =1404fn a \Rightarrow e @ dni a
```

de Morgan's laws are obtained as follows:

```
fun deMorgan1 (k : co (a + b)) : (co a \star co b) =
1408
            (contramap INL k, contramap INR k)
1409
         fun deMorgan2 ((ka , kb) : co a \star co b) : co (a + b) =
1410
            contramap (fn e \Rightarrow e @ ka) kb
1411
         fun deMorgan3 (kp : co (a * b)) : co a + co b =
1412
            adj (fn ks \Rightarrow let val (kka, kkb) = deMorgan1 ks in (dne kka, dne kkb) end) kp
1413
         fun deMorgan4 (ks : co a + co b) : co (a * b) =
1414
            case tnd () of
1415
              INL (a, b) \Rightarrow (case ks of INL ka \Rightarrow throw a ka | INR kb \Rightarrow throw b kb)
1416
            | INR kp \Rightarrow kp
1417
1418
1419
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```

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The subtraction type is the dual of the function type. We show this by defining functions ftoc and
ctof (named after the operators in [Führmann and Thielecke 2004, § 3]).

```
1425fun ftoc (f : a \rightarrow b) : co (a - b) =fun ctof (k : co (a - b)) : 'a \rightarrow 'b =1426case lam f offn a \Rightarrow case deMorgan3 k of1427INL ka \Rightarrow deMorgan4 (INL ka)INL ka \Rightarrow throw a ka1428| INR b \Rightarrow deMorgan4 (INR (dni b))| INR kkb \Rightarrow dne kkb
```

¹⁴³¹ Finally, Peirce's law, which is the type of the general callCC can be derived as follows:

```
1433fun peirce (f : ('a \rightarrow 'b) \rightarrow 'a) : 'a =1434case lam f of1435INL kg \Rightarrow #1 (adj ctof kg)1436INR a \Rightarrow a
```

This highlights how the covalue for b is dropped.

1439 C SUPPLEMENTARY MATERIAL FOR SECTION 4 (SEMANTICS)

1441 C.1 Other Co-exponentials

1442 As an aside, we give some other examples of co-exponentials.

1443 A Heyting algebra is a bounded lattice with an implication operator \rightarrow , such that, $c \land a \leq b$ 1444 iff $c \leq a \rightarrow b$, making $a \rightarrow b$ the relative pseudo-complement of *a* with respect to *b*. This can 1445 equivalently be described as a poset (thin category) with finite products (meets), finite coproducts 1446 (joins), which is cartesian closed. Since product functors are left adjoints, they preserve coproducts, 1447 hence meets distribute over joins, making them a distribute lattice.

The dual of a Heyting algebra is a co-Heyting algebra: it has finite meets and joins, and a coimplication operator \, such that $a \le b \lor c$ iff $a \setminus b \le c$. This can equivalently be described as a poset with finite products (meets), finite coproducts (joins), which is co-cartesian co-closed. Any Heyting algebra can be turned into a co-Heyting algebra by inverting the poset ordering. If a lattice carries both Heyting and co-Heyting structures, it s a bi-Heyting algebra.

Consider the vertical natural numbers $\mathbb{N} \cup \{\omega\}$, with $\{0 \leq 1 \leq 2 \leq \ldots \leq \omega\}$. This is an example of a bi-Heyting algebra.

- 1455 (1) $m \wedge n \stackrel{\Delta}{=} \min(m, n)$, bounded by ω .
 - (2) $m \lor n \triangleq \max(m, n)$, bounded by 0.
 - (3) $m \to n \triangleq \max \{ p \mid \min(p, m) \leqslant n \}.$
 - (4) $m \setminus n \triangleq \min \{ p \mid m \leq \max(n, p) \}.$

Every Boolean algebra gives a bi-Heyting algebra, where $a \to b \triangleq \neg a \lor b$ and $a \setminus b \triangleq a \land \neg b$. As an example, consider the powerset lattice $\mathfrak{P}(C)$ of any set *C*, ordered by \subseteq .

- (1) $X \land Y \triangleq X \cap Y$, bounded by *C*.
- (2) $X \lor Y \triangleq X \cup Y$, bounded by \emptyset .

 $(3) X \to Y \triangleq X^c \cup Y.$

1465 (4) $X \setminus Y \triangleq X \cap Y^c$.

More generally, the subobject classifier of any presheaf category PSh(C) for any small category *C* is a bi-Heyting algebra (Johnstone). For any topological space, the lattice of open subsets is a Heyting algebra and the lattice of closed subsets is a co-Heyting algebra. Also see Brouwerian algebras, semi-Boolean algebras (Rauszer).

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 $\frac{\Gamma \vdash e : A}{\Gamma \vdash e \approx e : A} \operatorname{Refl} \qquad \frac{\Gamma \vdash e_1 \approx e_2 : A}{\Gamma \vdash e_2 \approx e_1 : A} \operatorname{Sym} \qquad \frac{\Gamma \vdash e_1 \approx e_2 : A}{\Gamma \vdash e_1 \approx e_3 : A} \operatorname{Trans}$ $\frac{\Gamma \vdash e_1 \approx e_2 : A \times B}{\Gamma \vdash \text{fst}(e_1) \approx \text{fst}(e_2) : A} \text{ fst}()\text{-cong} \qquad \qquad \frac{\Gamma \vdash e_1 \approx e_2 : A \times B}{\Gamma \vdash \text{snd}(e_1) \approx \text{snd}(e_2) : B} \text{ snd}()\text{-cong}$ $\frac{\Gamma \vdash e_1 \approx e_2 : A \qquad \Gamma \vdash e_3 \approx e_4 : B}{\Gamma \vdash (e_1, e_3) \approx (e_2, e_4) : A \times B}$ PAIR-CONG $\frac{\Gamma, x : A \vdash e_1 \approx e_2 : B}{\Gamma \vdash \lambda x. e_1 \approx \lambda x. e_2 : A \Rightarrow B} \lambda \text{-cong} \qquad \frac{\Gamma \vdash e_1 \approx e_2 : A \Rightarrow B}{\Gamma \vdash e_1 \approx e_2 : e_4 = B} \Gamma \vdash e_3 \approx e_4 : A \text{ App-cong}$ $\frac{\Gamma \vdash e_1 \approx e_2 : A}{\Gamma \vdash \inf(e_1) \approx \inf(e_2) : A + B} \text{ inl-cong} \qquad \frac{\Gamma \vdash e_1 \approx e_2 : B}{\Gamma \vdash \inf(e_1) \approx \inf(e_2) : A + B} \text{ inr-cong}$ $\frac{\Gamma \vdash e_1 \approx e_2 : A + B \qquad \Gamma, x : A \vdash e_3 \approx e_4 : C \qquad \Gamma, y : B \vdash e_5 \approx e_6 : C}{\Gamma \vdash \mathsf{case}(e_1, x. e_3, y. e_5) \approx \mathsf{case}(e_2, x. e_4, y. e_6) : C} \text{ Case-cong}$ $\frac{\Gamma, x: \tilde{A} \vdash e_1 \approx e_2 : B}{\Gamma \vdash \tilde{\lambda} x, e_1 \approx \tilde{\lambda} x, e_2 : A \Rightarrow B} \widetilde{\lambda} \text{-cong} \qquad \frac{\Gamma \vdash e_1 \approx e_2 : A \Rightarrow B}{\Gamma \vdash e_1 \approx e_2 : A \Rightarrow B} \xrightarrow{\Gamma \vdash e_3 \approx e_4 : B} \text{coapp-cong}$ (a) Equivalence and congruence rules for the equational theory of $\lambda \tilde{\lambda}$ $\frac{\Gamma \vdash e:B}{\Gamma \vdash (\widetilde{\lambda}(x:\widetilde{A}), \widetilde{\operatorname{inr}(e) x}) \approx \operatorname{inr}(e) \cdot A + B} \widetilde{\lambda} \cdot \operatorname{inr} \eta$ $\frac{\Gamma \vdash e : A}{\Gamma \vdash (\widetilde{\lambda}(x : \widetilde{A}), \widetilde{\operatorname{inl}(e) x}) \approx \operatorname{inl}(e) : A + B} \widetilde{\lambda} \operatorname{-inl} \eta$ Fig. 12. Backtracking in $\lambda \tilde{\lambda}$ (derivable) However, all these examples are posets, and there is no computational content if we choose

to use this as a denotational semantics. Instead we have a given a construction of a co-cartesian co-closed category, starting from a bi-cartesian closed category, using the continuation, or double dualization monad (Kock). Since we do not have the cartesian closure and co-cartesian co-closure on the same category, we do not encounter the problem of degeneracy. This means we still retain computational content, as we will see in later sections, by giving an equational theory.

D SUPPLEMENTARY MATERIAL FOR SECTION 6 (EQUATIONAL THEORY)

From the $\lambda \eta$ rule, we can derive the two backtracking rules in figure 12.